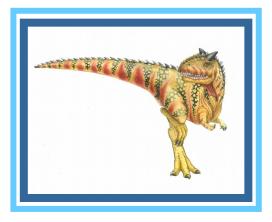
# **Chapter 6: CPU Scheduling**



**Operating System Concepts – 9th Edition** 



### **Chapter 6: CPU Scheduling**

- Basic Concepts
- Scheduling Criteria
- Scheduling Algorithms
- Thread Scheduling
- Multiple-Processor Scheduling
- Real-Time CPU Scheduling
- Operating Systems Examples
- Algorithm Evaluation





### **Objectives**

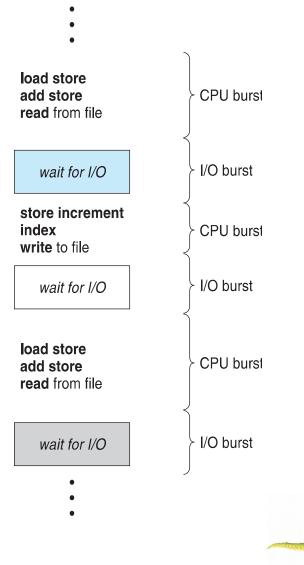
- To introduce CPU scheduling, which is the basis for multiprogrammed operating systems
- To describe various CPU-scheduling algorithms
- To discuss evaluation criteria for selecting a CPU-scheduling algorithm for a particular system
- To examine the scheduling algorithms of several operating systems





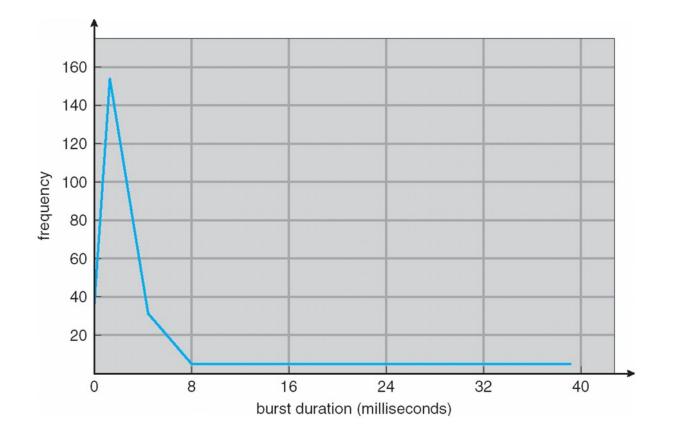
### **Basic Concepts**

- Maximum CPU utilization obtained with multiprogramming
- CPU–I/O Burst Cycle Process execution consists of a cycle of CPU execution and I/O wait
- CPU burst followed by I/O burst
- CPU burst distribution is of main concern





### **Histogram of CPU-burst Times**







- Short-term scheduler selects from among the processes in ready queue, and allocates the CPU to one of them
  - Queue may be ordered in various ways
- CPU scheduling decisions may take place when a process:
  - 1. Switches from running to waiting state
  - 2. Switches from running to ready state
  - 3. Switches from waiting to ready
  - 4. Terminates
- Scheduling under 1 and 4 is **nonpreemptive**
- All other scheduling is preemptive
  - Consider access to shared data
  - Consider preemption while in kernel mode
  - Consider interrupts occurring during crucial OS activities





### **Dispatcher**

- Dispatcher module gives control of the CPU to the process selected by the short-term scheduler; this involves:
  - switching context
  - switching to user mode
  - jumping to the proper location in the user program to restart that program
- Dispatch latency time it takes for the dispatcher to stop one process and start another running





### **Scheduling Criteria**

- **CPU utilization** keep the CPU as busy as possible
- Throughput # of processes that complete their execution per time unit
- Turnaround time amount of time to execute a particular process
- Waiting time amount of time a process has been waiting in the ready queue
- Response time amount of time it takes from when a request was submitted until the first response is produced, not output (for time-sharing environment)

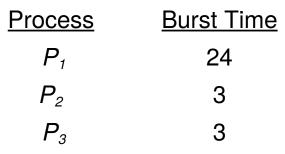




- Max CPU utilization
- Max throughput
- Min turnaround time
- Min waiting time
- Min response time







Suppose that the processes arrive in the order:  $P_1$ ,  $P_2$ ,  $P_3$ The Gantt Chart for the schedule is:



- Waiting time for  $P_1 = 0$ ;  $P_2 = 24$ ;  $P_3 = 27$ 
  - Average waiting time: (0 + 24 + 27)/3 = 17





Suppose that the processes arrive in the order:

$$P_2, P_3, P_1$$

The Gantt chart for the schedule is:



- Waiting time for  $P_1 = 6$ ;  $P_2 = 0$ ;  $P_3 = 3$
- Average waiting time: (6 + 0 + 3)/3 = 3
- Much better than previous case
- **Convoy effect** short process behind long process
  - Consider one CPU-bound and many I/O-bound processes





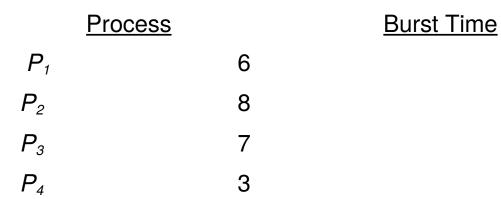
- Associate with each process the length of its next CPU burst
  - Use these lengths to schedule the process with the shortest time
- SJF is optimal gives minimum average waiting time for a given set of processes
  - The difficulty is knowing the length of the next CPU request
  - Could ask the user



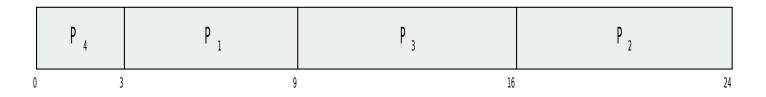
**Operating System Concepts – 9th Edition** 



### **Example of SJF**



### SJF scheduling chart



Average waiting time = (3 + 16 + 9 + 0) / 4 = 7



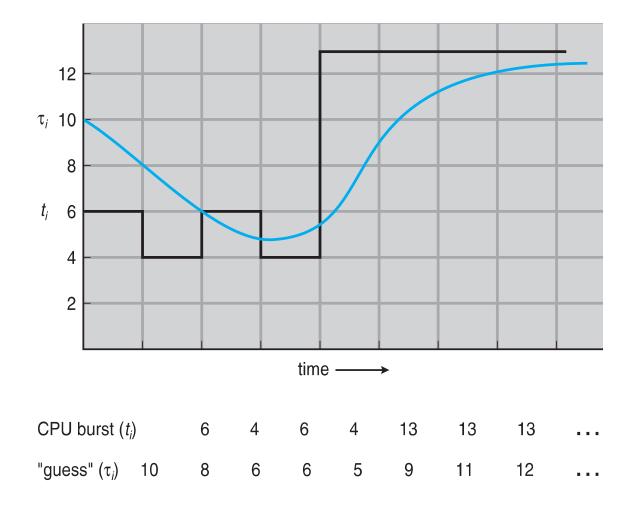
**Operating System Concepts – 9th Edition** 



- Can only estimate the length should be similar to the previous one
  - Then pick process with shortest predicted next CPU burst
- Can be done by using the length of previous CPU bursts, using exponential averaging
  - 1.  $t_n$  =actual length of  $n^{th}$  CPU burst
  - 2.  $\tau_{n+1}$  =predicted value for the next CPU burst
  - 3.  $\alpha$ , 0  $\leq \alpha \leq 1$
  - 4. Define :  $\tau_{n=1} = \alpha t_n + (1 \alpha)\tau_n$ .
- Commonly,  $\alpha$  set to  $\frac{1}{2}$
- Preemptive version called shortest-remaining-time-first









**Operating System Concepts – 9th Edition** 



- α =0
  - $\tau_{n+1} = \tau_n$
  - Recent history does not count
- **α** =1
  - $\tau_{n+1} = \alpha t_n$
  - Only the actual last CPU burst counts
  - If we expand the formula, we get:

$$\begin{aligned} \tau_{n+1} &= \alpha \, t_n + (1 - \alpha) \alpha \, t_{n-1} + \dots \\ &+ (1 - \alpha)^j \alpha \, t_{n-j} + \dots \\ &+ (1 - \alpha)^{n+1} \tau_0 \end{aligned}$$

Since both  $\alpha$  and (1 -  $\alpha$ ) are less than or equal to 1, each successive term has less weight than its predecessor

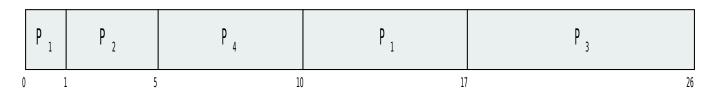


**Operating System Concepts – 9th Edition** 

Now we add the concepts of varying arrival times and preemption to the analysis

Process		<u>Arrival Time</u>	<u>Burst Time</u>	
$P_1$	0	8		
$P_2$	1	4		
$P_3$	2	9		
$P_4$	3	5		

Preemptive SJF Gantt Chart



Average waiting time = [(10-1)+(1-1)+(17-2)+5-3)]/4 = 26/4 = 6.5 msec



#### **Operating System Concepts – 9th Edition**



## **Priority Scheduling**

- A priority number (integer) is associated with each process
- The CPU is allocated to the process with the highest priority (smallest integer  $\equiv$  highest priority)
  - Preemptive
  - Nonpreemptive
- SJF is priority scheduling where priority is the inverse of predicted next CPU burst time
- Problem  $\equiv$  **Starvation** low priority processes may never execute
- Solution  $\equiv$  Aging as time progresses increase the priority of the process





## **Example of Priority Scheduling**

<u>Process</u>	<u>Burst Time</u>	<u>Priority</u>	
$P_1$	10	3	
$P_2$	1	1	
$P_3$	2	4	
$P_4$	1	5	
$P_5$	5	2	

### Priority scheduling Gantt Chart

P2	P <sub>5</sub>	P <sub>1</sub>	P3	P4
0 1	(	5	6	18 19

Average waiting time = 8.2 msec





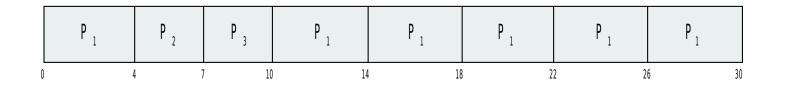
- Each process gets a small unit of CPU time (time quantum q), usually 10-100 milliseconds. After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are *n* processes in the ready queue and the time quantum is *q*, then each process gets 1/*n* of the CPU time in chunks of at most *q* time units at once. No process waits more than (*n*-1)*q* time units.
- Timer interrupts every quantum to schedule next process
  - Performance
    - $q \text{ large} \Rightarrow \text{FIFO}$
    - q small ⇒ q must be large with respect to context switch, otherwise overhead is too high





<u>Process</u>	<u>Burst Time</u>
$P_1$	24
$P_2$	3
$P_3$	3

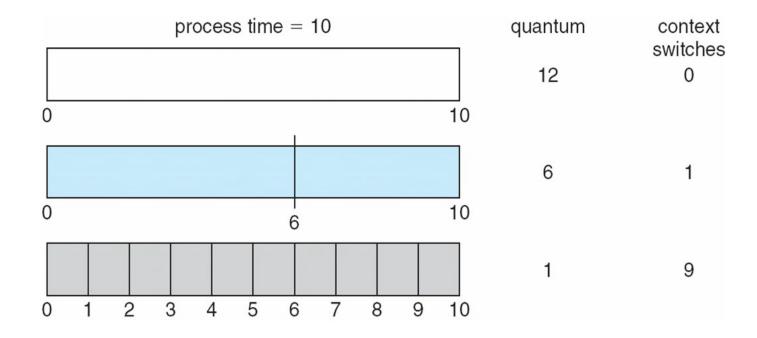
The Gantt chart is:



- Typically, higher average turnaround than SJF, but better response
- q should be large compared to context switch time
- q usually 10ms to 100ms, context switch < 10 usec



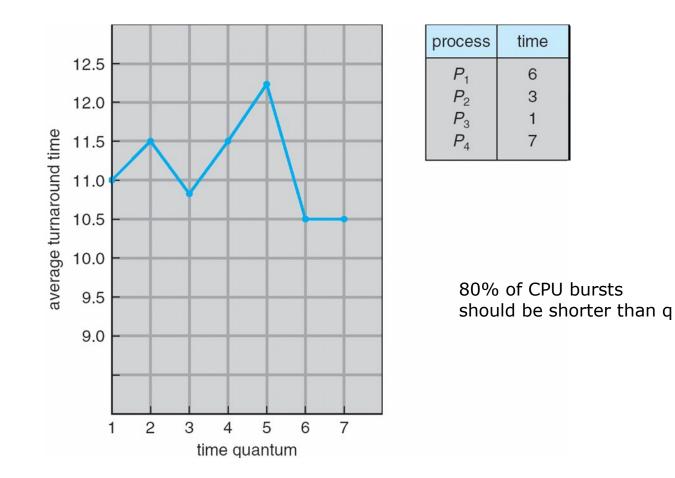
# Time Quantum and Context Switch Time





#### **Operating System Concepts – 9th Edition**

### **Turnaround Time Varies With The Time Quantum**



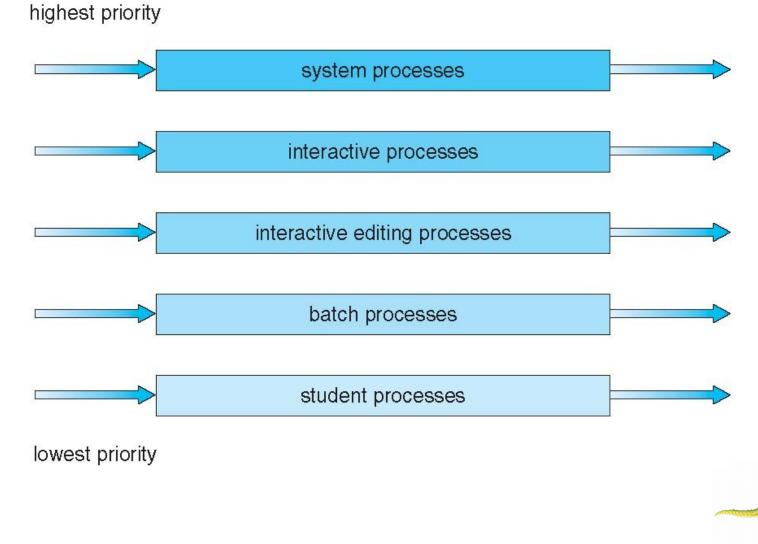
**Operating System Concepts – 9th Edition** 



- Ready queue is partitioned into separate queues, eg:
  - foreground (interactive)
  - background (batch)
- Process permanently in a given queue
- Each queue has its own scheduling algorithm:
  - foreground RR
  - background FCFS
- Scheduling must be done between the queues:
  - Fixed priority scheduling; (i.e., serve all from foreground then from background). Possibility of starvation.
  - Time slice each queue gets a certain amount of CPU time which it can schedule amongst its processes; i.e., 80% to foreground in RR
  - 20% to background in FCFS



### **Multilevel Queue Scheduling**



**Operating System Concepts – 9th Edition** 



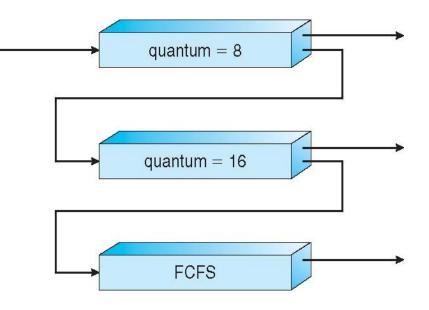
### **Multilevel Feedback Queue**

- A process can move between the various queues; aging can be implemented this way
- Multilevel-feedback-queue scheduler defined by the following parameters:
  - number of queues
  - scheduling algorithms for each queue
  - method used to determine when to upgrade a process
  - method used to determine when to demote a process
  - method used to determine which queue a process will enter when that process needs service



# Example of Multilevel Feedback Queue

- Three queues:
  - $Q_0 RR$  with time quantum 8 milliseconds
  - $Q_1 RR$  time quantum 16 milliseconds
  - $Q_2 FCFS$
- Scheduling
  - A new job enters queue Q<sub>0</sub> which is served FCFS
    - When it gains CPU, job receives 8 milliseconds
    - If it does not finish in 8 milliseconds, job is moved to queue Q<sub>1</sub>
  - At Q<sub>1</sub> job is again served FCFS and receives 16 additional milliseconds
    - If it still does not complete, it is preempted and moved to queue Q<sub>2</sub>







## **Thread Scheduling**

- Distinction between user-level and kernel-level threads
- When threads supported, threads scheduled, not processes
- Many-to-one and many-to-many models, thread library schedules user-level threads to run on LWP
  - Known as process-contention scope (PCS) since scheduling competition is within the process
  - Typically done via priority set by programmer
- Kernel thread scheduled onto available CPU is system-contention scope (SCS) competition among all threads in system





### **Pthread Scheduling**

- API allows specifying either PCS or SCS during thread creation
  - PTHREAD\_SCOPE\_PROCESS schedules threads using PCS scheduling
  - PTHREAD\_SCOPE\_SYSTEM schedules threads using SCS scheduling
- Can be limited by OS Linux and Mac OS X only allow PTHREAD\_SCOPE\_SYSTEM





### **Pthread Scheduling API**

```
#include <pthread.h>
#include <stdio.h>
#define NUM THREADS 5
int main(int argc, char *argv[]) {
   int i, scope;
  pthread t tid[NUM THREADS];
  pthread attr t attr;
  /* get the default attributes */
  pthread attr init(&attr);
  /* first inquire on the current scope */
   if (pthread attr getscope(&attr, &scope) != 0)
      fprintf(stderr, "Unable to get scheduling scope\n");
   else {
      if (scope == PTHREAD SCOPE PROCESS)
         printf("PTHREAD SCOPE PROCESS");
      else if (scope == PTHREAD SCOPE SYSTEM)
         printf("PTHREAD SCOPE SYSTEM");
      else
         fprintf(stderr, "Illegal scope value.\n");
```





### Pthread Scheduling API

```
/* set the scheduling algorithm to PCS or SCS */
   pthread attr setscope(&attr, PTHREAD SCOPE SYSTEM);
   /* create the threads */
   for (i = 0; i < NUM THREADS; i++)
      pthread create(&tid[i], &attr, runner, NULL);
   /* now join on each thread */
   for (i = 0; i < NUM THREADS; i++)
      pthread join(tid[i], NULL);
}
/* Each thread will begin control in this function */
void *runner(void *param)
{
   /* do some work ... */
  pthread exit(0);
}
```



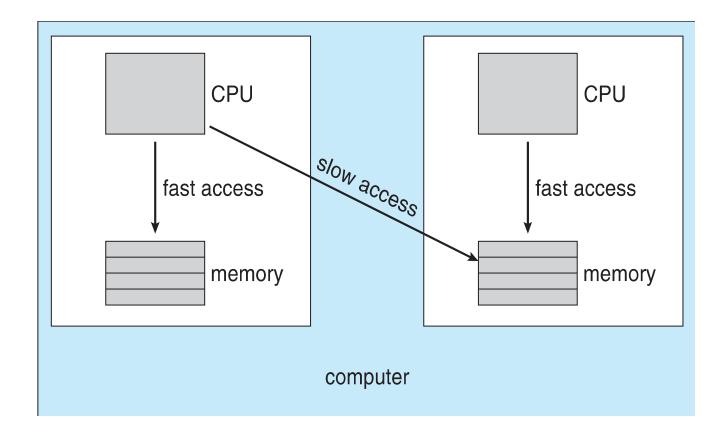


- CPU scheduling more complex when multiple CPUs are available
- Homogeneous processors within a multiprocessor
- Asymmetric multiprocessing only one processor accesses the system data structures, alleviating the need for data sharing
- Symmetric multiprocessing (SMP) each processor is selfscheduling, all processes in common ready queue, or each has its own private queue of ready processes
  - Currently, most common
- Processor affinity process has affinity for processor on which it is currently running
  - soft affinity
  - hard affinity
  - Variations including processor sets





### **NUMA and CPU Scheduling**



Note that memory-placement algorithms can also consider affinity





- If SMP, need to keep all CPUs loaded for efficiency
- Load balancing attempts to keep workload evenly distributed
- Push migration periodic task checks load on each processor, and if found pushes task from overloaded CPU to other CPUs
- Pull migration idle processors pulls waiting task from busy processor



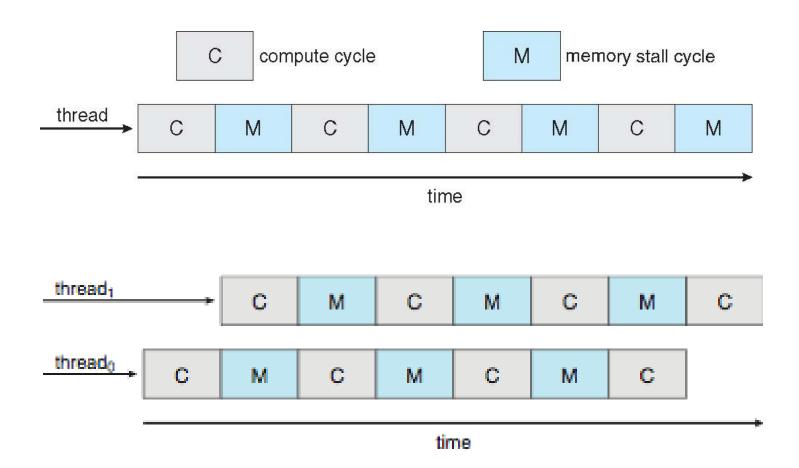


- Recent trend to place multiple processor cores on same physical chip
- Faster and consumes less power
- Multiple threads per core also growing
  - Takes advantage of memory stall to make progress on another thread while memory retrieve happens





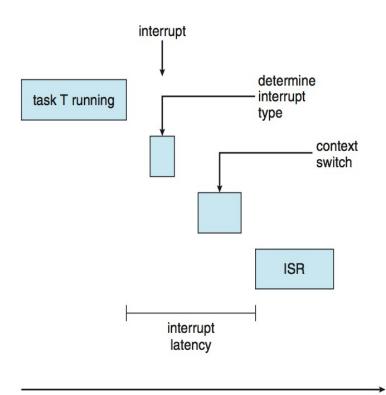
### **Multithreaded Multicore System**





# **Real-Time CPU Scheduling**

- Can present obvious challenges
- Soft real-time systems no guarantee as to when critical realtime process will be scheduled
- Hard real-time systems task must be serviced by its deadline
- Two types of latencies affect performance
  - Interrupt latency time from arrival of interrupt to start of routine that services interrupt
  - 2. Dispatch latency time for schedule to take current process off CPU and switch to another

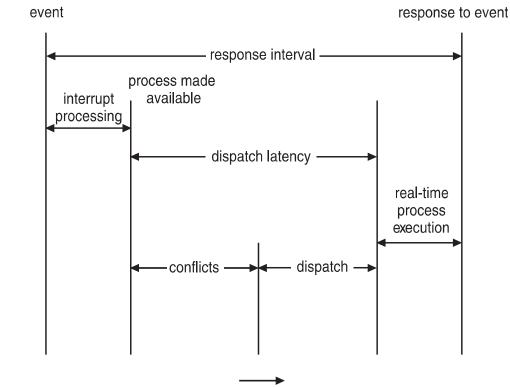


time





- Conflict phase of dispatch latency:
  - Preemption of any process running in kernel mode
  - 2. Release by lowpriority process of resources needed by highpriority processes



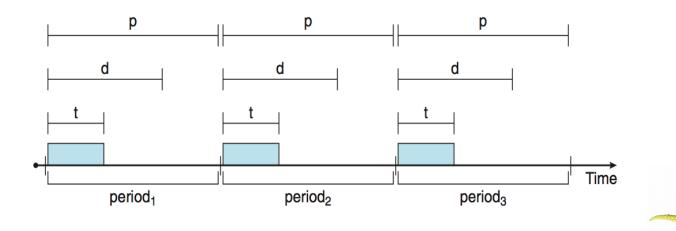






# **Priority-based Scheduling**

- For real-time scheduling, scheduler must support preemptive, prioritybased scheduling
  - But only guarantees soft real-time
- For hard real-time must also provide ability to meet deadlines
  - Processes have new characteristics: **periodic** ones require CPU at constant intervals
    - Has processing time *t*, deadline *d*, period *p*
    - 0 ≤ t ≤ d ≤ p
    - Rate of periodic task is 1/p



**Operating System Concepts – 9th Edition** 

Silberschatz, Galvin and Gagne ©2013



# **Virtualization and Scheduling**

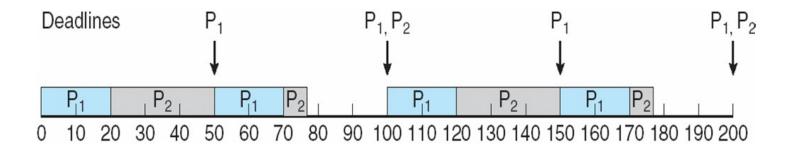
- Virtualization software schedules multiple guests onto CPU(s)
- Each guest doing its own scheduling
  - Not knowing it doesn't own the CPUs
  - Can result in poor response time
  - Can effect time-of-day clocks in guests
  - Can undo good scheduling algorithm efforts of guests





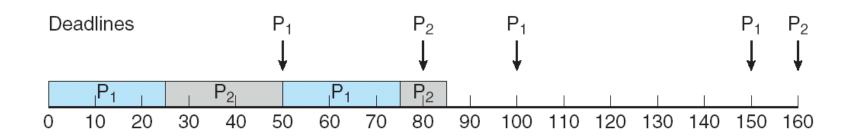
# **Rate Montonic Scheduling**

- A priority is assigned based on the inverse of its period
- Shorter periods = higher priority;
- Longer periods = lower priority
- $P_1$  is assigned a higher priority than  $P_2$ .







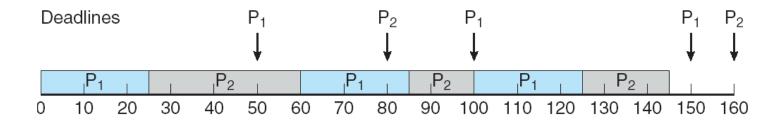






Priorities are assigned according to deadlines:

the earlier the deadline, the higher the priority; the later the deadline, the lower the priority







# **Proportional Share Scheduling**

- T shares are allocated among all processes in the system
- An application receives N shares where N < T
- This ensures each application will receive N / T of the total processor time





- The POSIX.1b standard
- API provides functions for managing real-time threads
- Defines two scheduling classes for real-time threads:
- n SCHED\_FIFO threads are scheduled using a FCFS strategy with a FIFO queue. There is no time-slicing for threads of equal priority
- n SCHED\_RR similar to SCHED\_FIFO except time-slicing occurs for threads of equal priority
- Defines two functions for getting and setting scheduling policy:



# **POSIX Real-Time Scheduling API**

```
#include <pthread.h>
#include <stdio.h>
#define NUM THREADS 5
int main(int argc, char *argv[])
{
   int i, policy;
  pthread t tid[NUM THREADS];
  pthread attr t attr;
  /* get the default attributes */
  pthread attr init(&attr);
   /* get the current scheduling policy */
   if (pthread attr getschedpolicy(&attr, &policy) != 0)
      fprintf(stderr, "Unable to get policy.\n");
  else {
      if (policy == SCHED OTHER) printf("SCHED OTHER\n");
      else if (policy == SCHED RR) printf("SCHED RR\n");
      else if (policy == SCHED FIFO) printf("SCHED FIFO\n");
```



Silberschatz, Galvin and Gagne ©2013



}

{

}

#### **POSIX Real-Time Scheduling API (Cont.)**

```
/* set the scheduling policy - FIFO, RR, or OTHER */
   if (pthread attr setschedpolicy(&attr, SCHED FIFO) != 0)
      fprintf(stderr, "Unable to set policy.\n");
   /* create the threads */
   for (i = 0; i < NUM THREADS; i++)
      pthread create(&tid[i], &attr, runner, NULL);
   /* now join on each thread */
   for (i = 0; i < NUM THREADS; i++)</pre>
      pthread join(tid[i], NULL);
/* Each thread will begin control in this function */
void *runner(void *param)
   /* do some work ... */
   pthread exit(0);
```





# **Operating System Examples**

- Linux scheduling
- Windows scheduling
- Solaris scheduling





- Prior to kernel version 2.5, ran variation of standard UNIX scheduling algorithm
- Version 2.5 moved to constant order *O*(1) scheduling time
  - Preemptive, priority based
  - Two priority ranges: time-sharing and real-time
  - **Real-time** range from 0 to 99 and **nice** value from 100 to 140
  - Map into global priority with numerically lower values indicating higher priority
  - Higher priority gets larger q
  - Task run-able as long as time left in time slice (active)
  - If no time left (expired), not run-able until all other tasks use their slices
  - All run-able tasks tracked in per-CPU runqueue data structure
    - Two priority arrays (active, expired)
    - Tasks indexed by priority
    - When no more active, arrays are exchanged
  - Worked well, but poor response times for interactive processes





#### Linux Scheduling in Version 2.6.23 +

Completely Fair Scheduler (CFS)

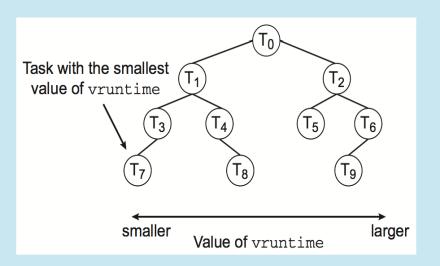
#### Scheduling classes

- Each has specific priority
- Scheduler picks highest priority task in highest scheduling class
- Rather than quantum based on fixed time allotments, based on proportion of CPU time
- 2 scheduling classes included, others can be added
  - 1. default
  - 2. real-time
- Quantum calculated based on nice value from -20 to +19
  - Lower value is higher priority
  - Calculates target latency interval of time during which task should run at least once
  - Target latency can increase if say number of active tasks increases
- CFS scheduler maintains per task virtual run time in variable vruntime
  - Associated with decay factor based on priority of task lower priority is higher decay rate
  - Normal default priority yields virtual run time = actual run time
  - To decide next task to run, scheduler picks task with lowest virtual run time



#### **CFS Performance**

The Linux CFS scheduler provides an efficient algorithm for selecting which task to run next. Each runnable task is placed in a red-black tree—a balanced binary search tree whose key is based on the value of vruntime. This tree is shown below:

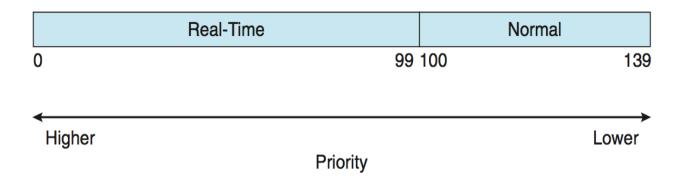


When a task becomes runnable, it is added to the tree. If a task on the tree is not runnable (for example, if it is blocked while waiting for I/O), it is removed. Generally speaking, tasks that have been given less processing time (smaller values of vruntime) are toward the left side of the tree, and tasks that have been given more processing time are on the right side. According to the properties of a binary search tree, the leftmost node has the smallest key value, which for the sake of the CFS scheduler means that it is the task with the highest priority. Because the red-black tree is balanced, navigating it to discover the leftmost node will require O(lgN) operations (where N is the number of nodes in the tree). However, for efficiency reasons, the Linux scheduler caches this value in the variable rb\_leftmost, and thus determining which task to run next requires only retrieving the cached value.





- Real-time scheduling according to POSIX.1b
  - Real-time tasks have static priorities
- Real-time plus normal map into global priority scheme
- Nice value of -20 maps to global priority 100
- Nice value of +19 maps to priority 139







# **Windows Scheduling**

- Windows uses priority-based preemptive scheduling
- Highest-priority thread runs next
- Dispatcher is scheduler
- Thread runs until (1) blocks, (2) uses time slice, (3) preempted by higher-priority thread
- Real-time threads can preempt non-real-time
- 32-level priority scheme
- Variable class is 1-15, real-time class is 16-31
- Priority 0 is memory-management thread
- Queue for each priority
- If no run-able thread, runs idle thread





# **Windows Priority Classes**

Win32 API identifies several priority classes to which a process can belong

- REALTIME\_PRIORITY\_CLASS, HIGH\_PRIORITY\_CLASS, ABOVE\_NORMAL\_PRIORITY\_CLASS,NORMAL\_PRIORITY\_CLASS, BELOW\_NORMAL\_PRIORITY\_CLASS, IDLE\_PRIORITY\_CLASS
- All are variable except REALTIME
- A thread within a given priority class has a relative priority
  - TIME\_CRITICAL, HIGHEST, ABOVE\_NORMAL, NORMAL, BELOW\_NORMAL, LOWEST, IDLE
- Priority class and relative priority combine to give numeric priority
- Base priority is NORMAL within the class
- If quantum expires, priority lowered, but never below base





- If wait occurs, priority boosted depending on what was waited for
- Foreground window given 3x priority boost
- Windows 7 added user-mode scheduling (UMS)
  - Applications create and manage threads independent of kernel
  - For large number of threads, much more efficient
  - UMS schedulers come from programming language libraries like C++ Concurrent Runtime (ConcRT)

framework





### **Windows Priorities**

	real- time	high	above normal	normal	below normal	idle priority
time-critical	31	15	15	15	15	15
highest	26	15	12	10	8	6
above normal	25	14	11	9	7	5
normal	24	13	10	8	6	4
below normal	23	12	9	7	5	3
lowest	22	11	8	6	4	2
idle	16	1	1	1	1	1





#### **Solaris**

- Priority-based scheduling
- Six classes available
  - Time sharing (default) (TS)
  - Interactive (IA)
  - Real time (RT)
  - System (SYS)
  - Fair Share (FSS)
  - Fixed priority (FP)
- Given thread can be in one class at a time
- Each class has its own scheduling algorithm
- Time sharing is multi-level feedback queue
  - Loadable table configurable by sysadmin





## **Solaris Dispatch Table**

priority	time quantum	time quantum expired	return from sleep
0	200	0	50
5	200	0	50
10	160	0	51
15	160	5	51
20	120	10	52
25	120	15	52
30	80	20	53
35	80	25	54
40	40	30	55
45	40	35	56
50	40	40	58
55	40	45	58
59	20	49	59

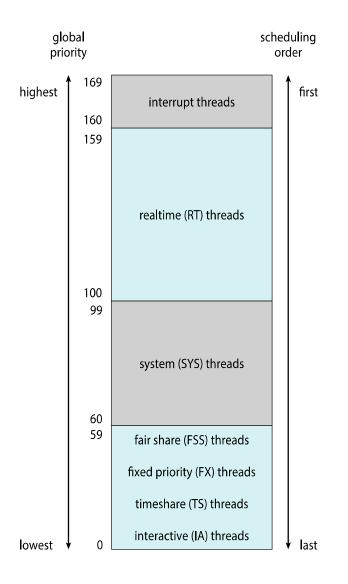


**Operating System Concepts – 9th Edition** 

Silberschatz, Galvin and Gagne ©2013



### **Solaris Scheduling**



**Operating System Concepts – 9th Edition** 

Silberschatz, Galvin and Gagne ©2013



# **Solaris Scheduling (Cont.)**

- Scheduler converts class-specific priorities into a per-thread global priority
  - Thread with highest priority runs next
  - Runs until (1) blocks, (2) uses time slice, (3) preempted by higher-priority thread
  - Multiple threads at same priority selected via RR





# **Algorithm Evaluation**

- How to select CPU-scheduling algorithm for an OS?
- Determine criteria, then evaluate algorithms
- Deterministic modeling
  - Type of analytic evaluation
  - Takes a particular predetermined workload and defines the performance of each algorithm for that workload
- Consider 5 processes arriving at time 0:

Process	Burst Time
$P_1$	10
$P_2$	29
$P_3$	3
$P_4$	7
$P_5$	12



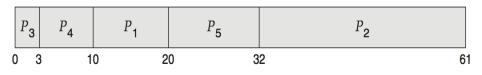


# **Deterministic Evaluation**

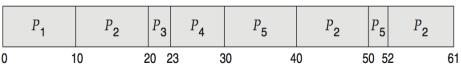
- For each algorithm, calculate minimum average waiting time
- Simple and fast, but requires exact numbers for input, applies only to those inputs
  - FCS is 28ms:



Non-preemptive SFJ is 13ms:



RR is 23ms.





#### **Operating System Concepts – 9th Edition**



# **Queueing Models**

- Describes the arrival of processes, and CPU and I/O bursts probabilistically
  - Commonly exponential, and described by mean
  - Computes average throughput, utilization, waiting time, etc
- Computer system described as network of servers, each with queue of waiting processes
  - Knowing arrival rates and service rates
  - Computes utilization, average queue length, average wait time, etc





## Little's Formula

- *n* = average queue length
- W = average waiting time in queue
- $\lambda$  = average arrival rate into queue
- Little's law in steady state, processes leaving queue must equal processes arriving, thus:

 $n = \lambda \times W$ 

- Valid for any scheduling algorithm and arrival distribution
- For example, if on average 7 processes arrive per second, and normally 14 processes in queue, then average wait time per process = 2 seconds



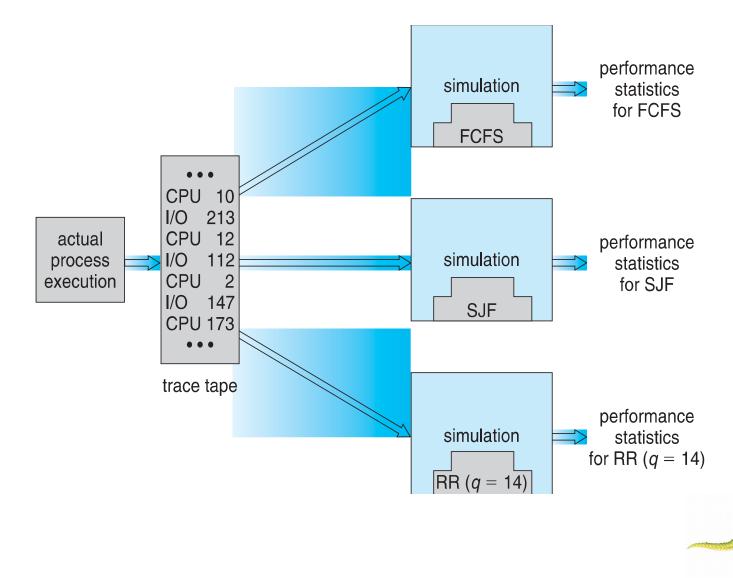


### **Simulations**

- Queueing models limited
- Simulations more accurate
  - Programmed model of computer system
  - Clock is a variable
  - Gather statistics indicating algorithm performance
  - Data to drive simulation gathered via
    - Random number generator according to probabilities
    - Distributions defined mathematically or empirically
    - Trace tapes record sequences of real events in real systems



# Figure 2.1 Sector of CPU Schedulers by Simulation



**Operating System Concepts – 9th Edition** 

Silberschatz, Galvin and Gagne ©2013



- Even simulations have limited accuracy
- Just implement new scheduler and test in real systems
  - High cost, high risk
  - Environments vary
- Most flexible schedulers can be modified per-site or per-system
- Or APIs to modify priorities
  - But again environments vary



# **End of Chapter 6**

