

# Chapter 2

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## Transformations

# Chapter 2

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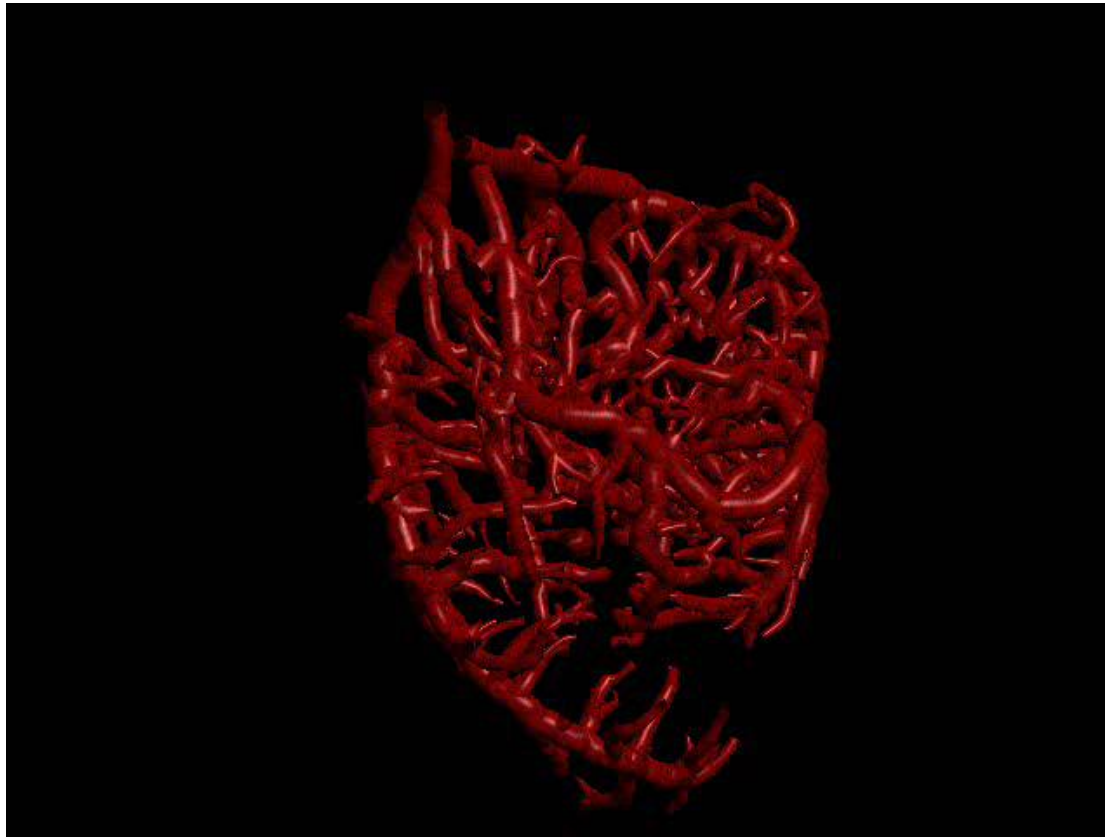
Transformations are used within the entire viewing pipeline:

- Projection from world to view coordinate system
- View modifications:
  - Panning
  - Zooming
  - Rotation

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Transformations can also be used for creating animations to better illustrate the 3D configuration of a model:



## Chapter 2

# Spaces and Transformations

Left-handed v. right handed

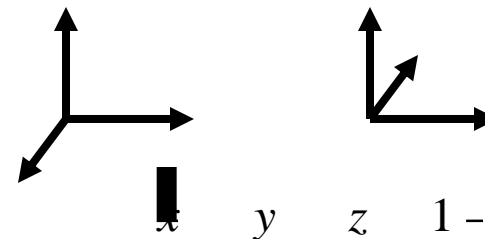
Homogeneous coordinates:

4x4 transformation matrix (TM)

Concatenating TMs

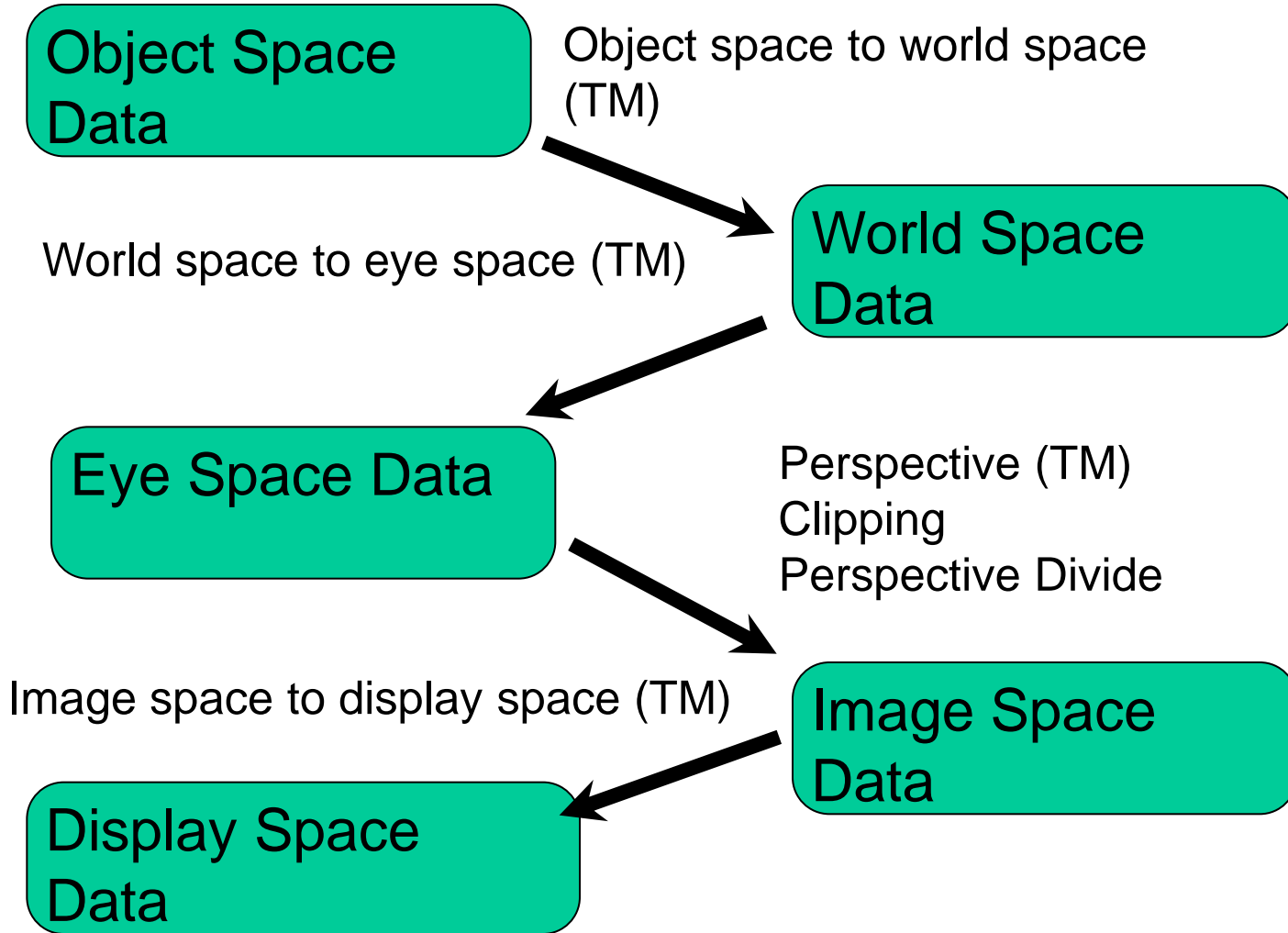
Basic transformations (TMs)

Display pipeline



# Chapter 2

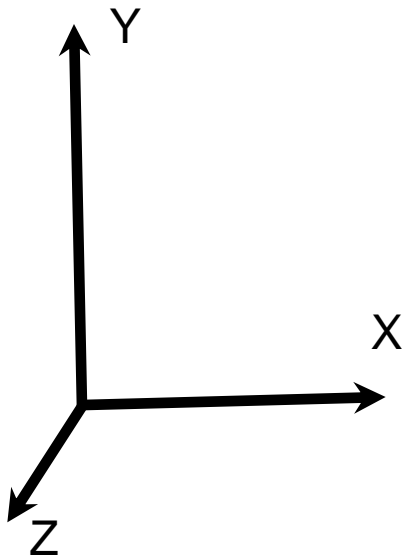
# Display Pipeline



## Chapter 2

# Representing an orientation

Example: fixed angles - rotate around global axes



Orientation:  $\alpha$   $\beta$   $\gamma$

$$P' = R_z(\gamma)R_y(\beta)R_x(\alpha)P$$

## Chapter 2

# Working with fixed angles and Rotation Matrices (RMs)

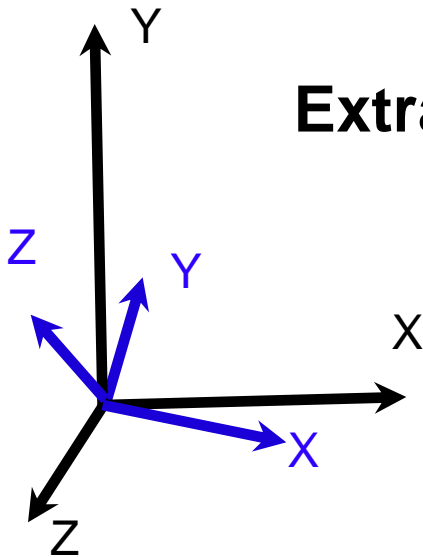
## Orthonormalizing a RM

Extracting fixed angles from an orientation

Extracting fixed angles from a RM

Making a RM from fixed angles

Making a RM from transformed unit coordinate system (TUCS)



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# Transformations in pipeline

**object -> world: often rigid transforms**

**world -> eye: rigid transforms**

**perspective matrix: uses 4<sup>th</sup> component of homo. coords**

**perspective divide: divide by homo. component**

**image -> screen: 2D map to screen coordinates**

**Clipping: procedure that considers view frustum**

**(rigid transformations preserve angles and distances)**



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# Error considerations

### **Accumulated round-off error - transform data:**

transform world data by delta RM

update RM by delta RM; apply to object data

update angle; form RM; apply to object data

### **orthonormalization**

rotation matrix: orthogonal, unit-length columns

iterate update by taking cross product of 2 vectors

scale to unit length

### **considerations of scale**

miles-to-inches can exceed single precision arithmetic

## Chapter 2

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# Orientation Representation

**Rotation matrix**

**Fixed angles: rotate about global coordinate system**

**Euler angles: rotate about local coordinate system**

**Axis-angle: arbitrary axis and angle**

**Quaternions: mathematically handy axis-angle 4-tuple**

**Exponential map: 3-tuple version of quaternions**

## Chapter 2

# Transformation Matrix

$$\begin{bmatrix} a & b & c & d \\ e & f & g & h \\ i & j & k & l \\ m & n & o & p \end{bmatrix}$$

## Chapter 2

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# Transformation Matrix

$$\begin{bmatrix} a & b & c & t_x \\ e & f & g & t_y \\ i & j & k & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Chapter 2

## Rotation Matrices

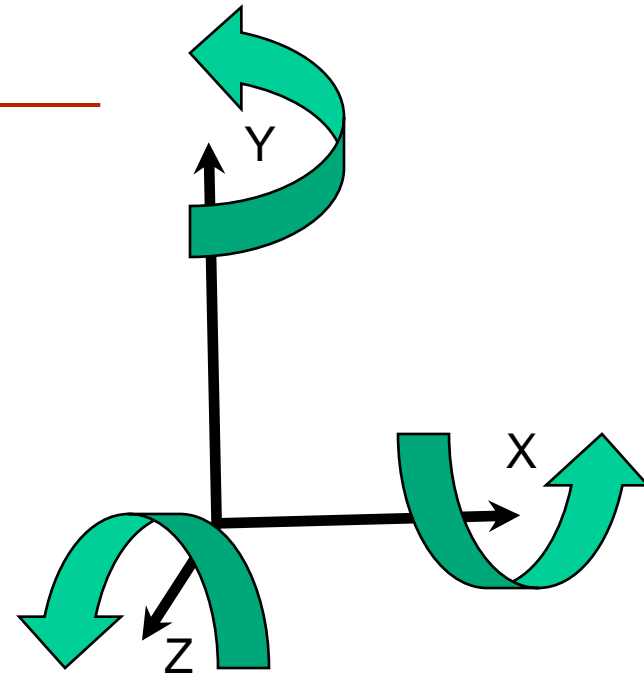
$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} \cos \beta & 0 & \sin \beta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \beta & 0 & \cos \beta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} \cos \alpha & -\sin \alpha & 0 & 0 \\ \sin \alpha & \cos \alpha & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Chapter 2

## Fixed Angles



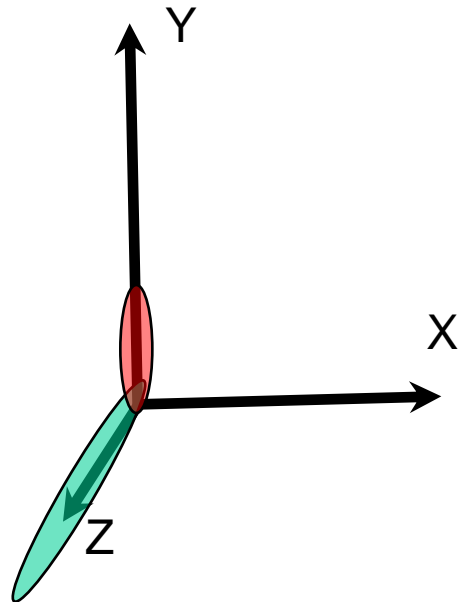
$$\alpha \quad \beta \quad \gamma \quad \longrightarrow \quad P' = R_z(\gamma)R_y(\beta)R_x(\alpha)P$$

**Fixed order: e.g., x, y, z; also could be x, y, x**  
**Global axes**

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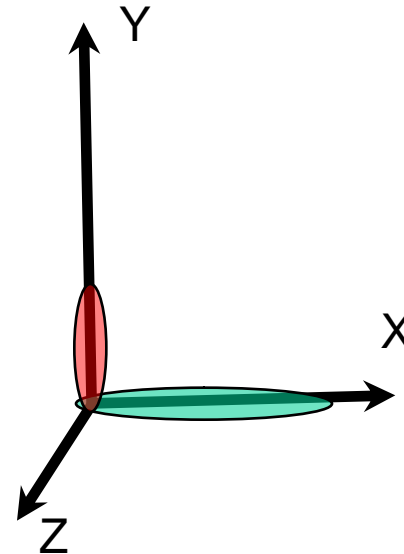
## Gimbal Lock

$$\theta \quad 0 \quad 0$$



Fixed angle: e.g., x, y, z

$$\theta \quad 90 \quad 0$$



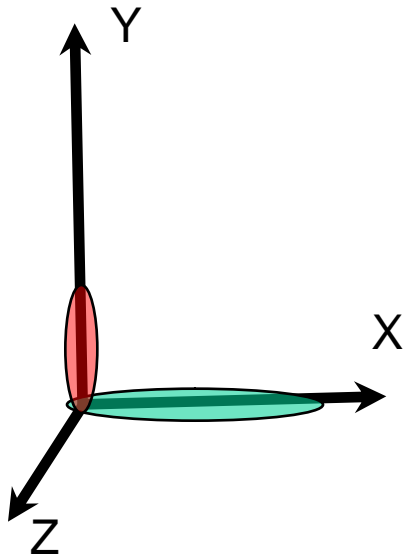
# Chapter 2

## Gimbal Lock

$$\theta \quad 90 \quad 0$$

Fixed order of rotations: x, y, z

What do these epsilon rotations do?



$$\theta \pm \varepsilon \quad 90 \quad 0$$

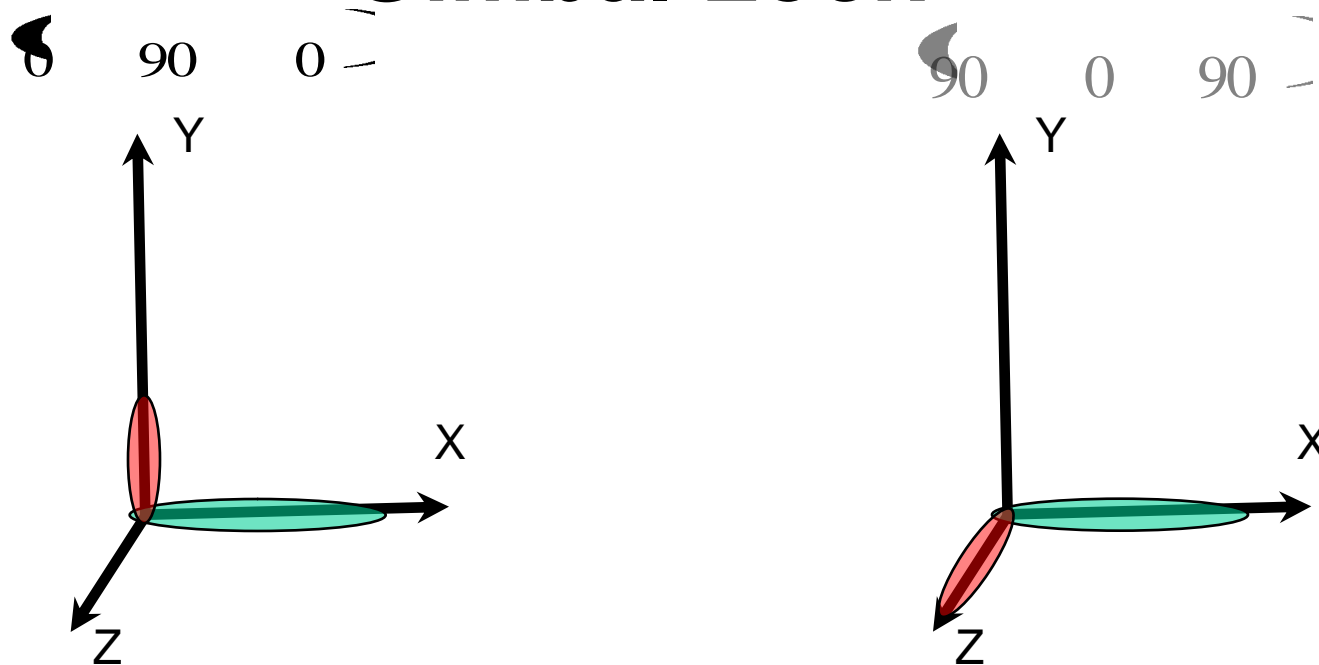
$$\theta \quad 90 \pm \varepsilon \quad 0$$

$$\theta \quad 90 \quad 0 \pm \varepsilon$$



# Chapter 2

## Gimbal Lock

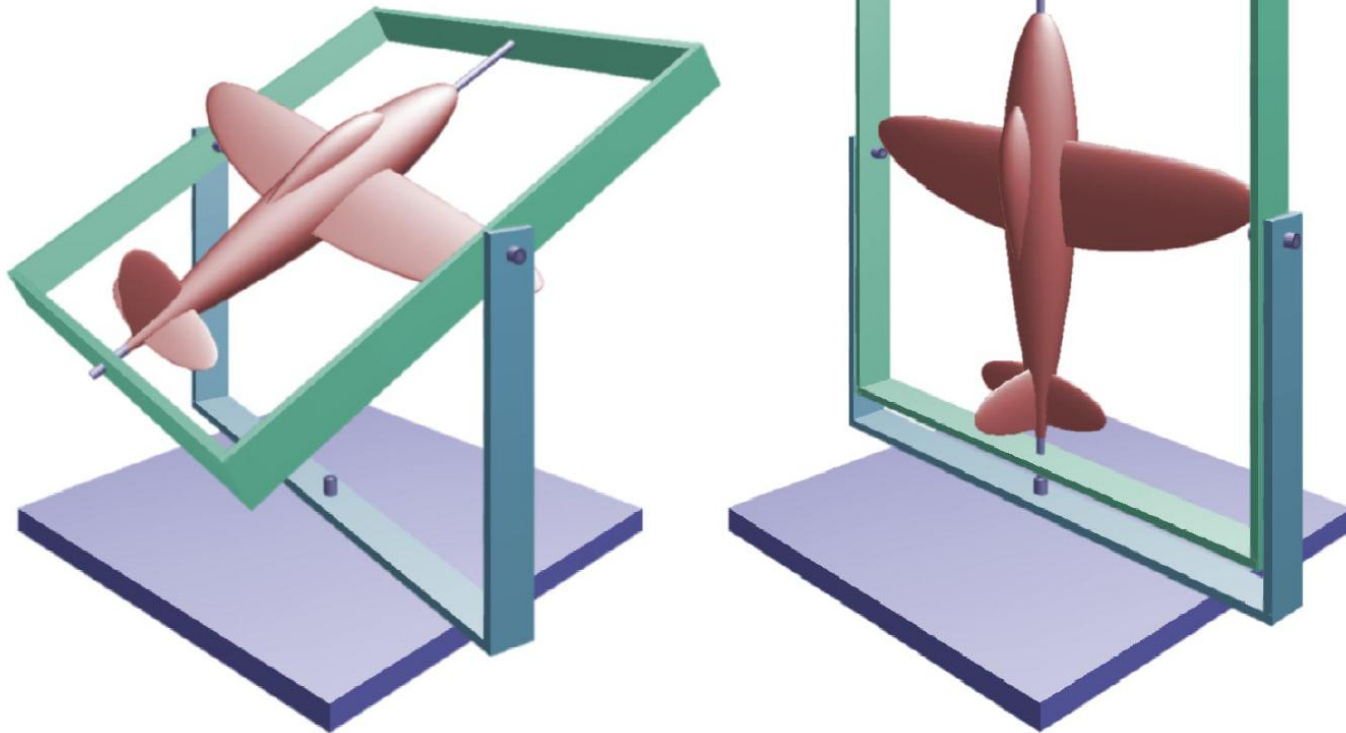


**Interpolating FA representations does not produce intuitive rotation because of gimbal lock**

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## Gimbal Lock

Two or more axis align resulting in a loss of rotation degrees of freedom.

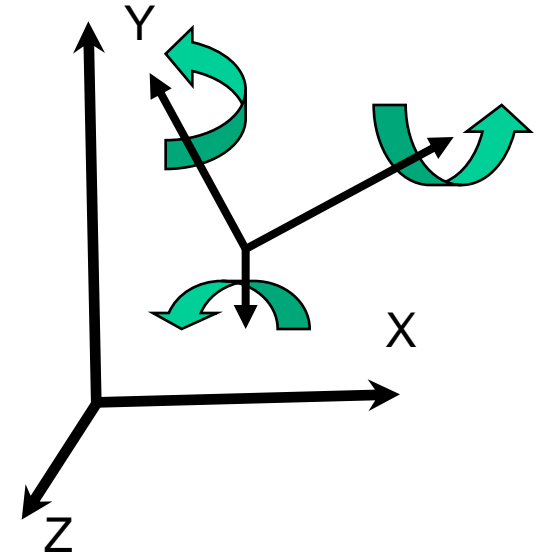


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## Euler Angles

$\alpha$     $\beta$     $\gamma$

Prescribed order: e.g., x, y, z or x, y, x  
Rotate around (rotated) local axes



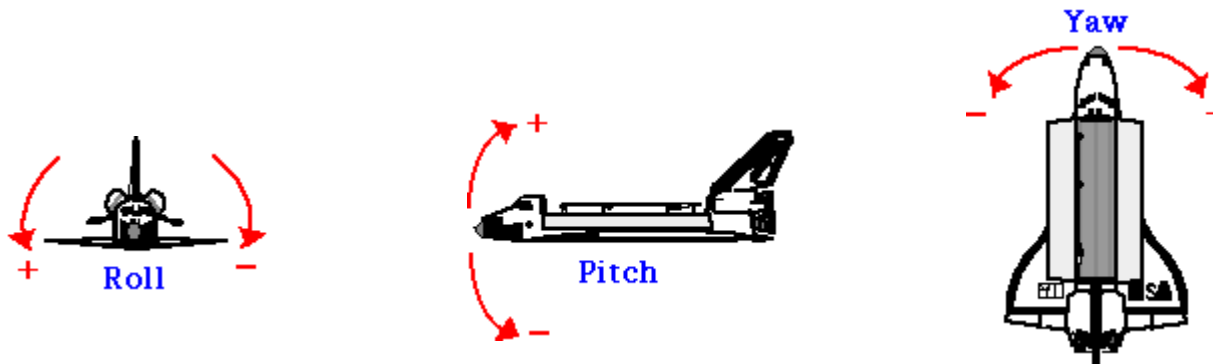
**Note: fixed angles are same as Euler angles applied in reverse order and vice versa**

$$\alpha \quad \beta \quad \gamma \quad \longrightarrow \quad P' = R_x(\alpha)R_y(\beta)R_z(\gamma)P$$

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## Roll, pitch, and yaw (Euler angles)

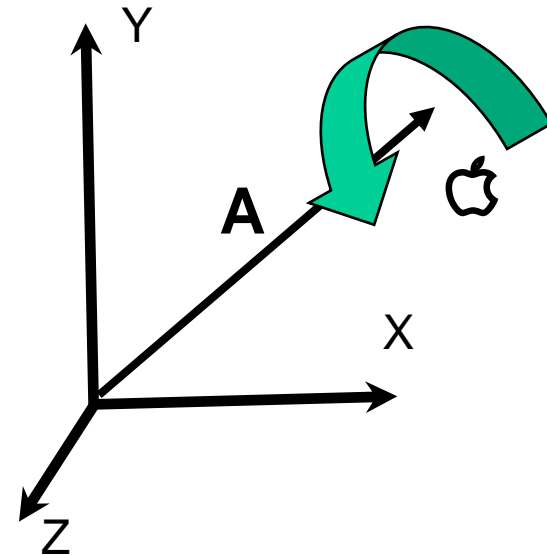
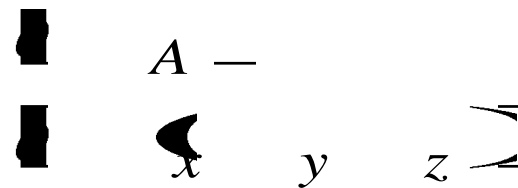
The orientation of, for example, a space shuttle in space is defined as its **attitude**. Orbiter attitudes are specified using values for **pitch**, **yaw**, and **roll**. These are with respect to the local object's coordinate system and therefore represent Euler angles



[http://liftoff.msfc.nasa.gov/academy/rocket\\_sci/shuttle/attitude/pyr.html](http://liftoff.msfc.nasa.gov/academy/rocket_sci/shuttle/attitude/pyr.html)

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## Axis-Angle



Rotate about given axis

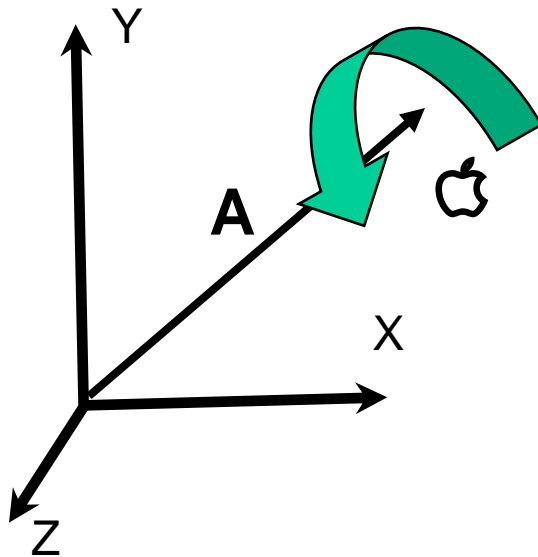
Euler's Rotation Theorem (orientation can be derived from another by a single rotation about an axis)

Fairly easy to interpolate between orientations

Difficult to concatenate rotations

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# Axis-angle to rotation matrix



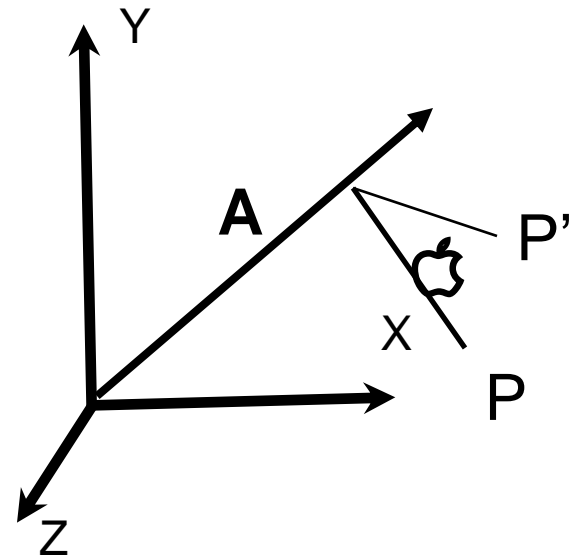
**Concatenate the following:**  
**Rotate A around z to x-z plane**  
**Rotate A' around y to x-axis**  
**Rotate theta around x**  
**Undo rotation around y-axis**  
**Undo rotation around z-axis**

# Chapter 2

## Axis-angle to rotation matrix

$$\hat{A} = \begin{bmatrix} a_x & a_x & a_x \\ a_y & a_y & a_y \\ a_z & a_z & a_z \end{bmatrix}$$

$$A^* = \begin{bmatrix} 0 & -a_z & a_y \\ a_z & 0 & -a_x \\ -a_y & a_x & 0 \end{bmatrix}$$



$$Rot(\theta, \mathbf{a}) = \hat{A} + \cos(\theta)(I - \hat{A}) + \sin(\theta)A^*$$

# Chapter 2

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## Quaternions

A quaternion is a four-tuple of real numbers,  $[s, x, y, z]$  or, equivalently  $[s, v]$ , consisting of a scalar,  $s$ , and a three-dimensional vector,  $v$ .

The quaternion is an alternative to the axis and angle representation in that it contains the same information in a different, but mathematically convenient, form.

Importantly, it is a form that can be interpolated as well as used in concatenating a series of rotations into a single representation. The axis and angle information of a quaternion can be viewed as an orientation of an object.

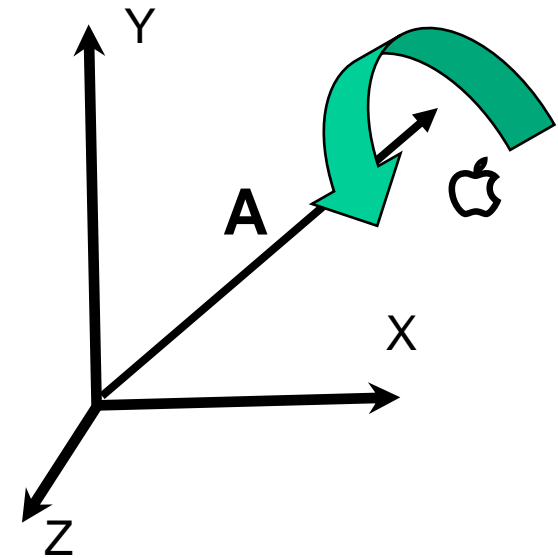


# Chapter 2

## Quaternion

$$Rot_{A, \theta} = \begin{bmatrix} \cos\left(\frac{\theta}{2}\right) & \sin\left(\frac{\theta}{2}\right) * A \end{bmatrix}$$

**Same as axis-angle, but different form**  
**Still rotate about given axis**  
**Mathematically convenient form**



$$q : \mathbf{v} \rightarrow \mathbf{v}'$$

**Note: in this form  $\mathbf{v}$  is a scaled version of the given axis of rotation,  $A$**

## Chapter 2

# Quaternion Arithmetic

Addition 
$$s_1 + s_2 \quad v_1 + v_2 = s_1 \quad v_1 + s_2 \quad v_2$$

Multiplication

$$q_1 q_2 = s_1 s_2 - v_1 \cdot v_2 + s_2 v_1 + s_1 v_2 + v_1 \times v_2$$

Inner Product 
$$q_1 \cdot q_2 = s_1 s_2 + v_1 \cdot v_2$$

Length 
$$\|q\| = \sqrt{q \cdot q}$$

## Chapter 2

# Quaternion Arithmetic

Inverse  $q^{-1} = \frac{1}{\|q\|^2} \mathbf{1} - \mathbf{v}$

$$qq^{-1} = q^{-1}q = \mathbf{1} \quad \mathbf{0} \quad \mathbf{0} \quad \mathbf{0}$$

$$(pq)^{-1} = q^{-1}p^{-1}$$

Unit quaternion  $\hat{q} = \frac{q}{\|q\|^2}$

## Chapter 2

# Quaternion Representation

Rotating a vector using quaternions:

Vector   $v$

Transform  $v' = Rot_q(v) = qvq^{-1}$

## Chapter 2

# Quaternion Geometric Operations

$$\text{Rot}_q(v) = \text{Rot}_{-q}(v)$$

$$\text{Rot}_q(v) = \text{Rot}_{kq}(v)$$

$$v'' = \text{Rot}_q(\text{Rot}_p(v)) = \text{Rot}_{qp}(v)$$

$$v'' = \text{Rot}_{q^{-1}}(\text{Rot}_q(v)) = q^{-1}(qvq^{-1})q = v$$

# Chapter 2

## Unit Quaternion Conversions

$$Rot \begin{bmatrix} \cdot \\ \cdot \\ \cdot \end{bmatrix} = \begin{bmatrix} 1 - 2y^2 - 2z^2 & 2xy - 2sz & 2xz - 2sy \\ 2xy - 2sz & 1 - 2x^2 - 2z^2 & 2yz - 2sx \\ 2xz - 2sy & 2yz - 2sx & 1 - 2x^2 - 2y^2 \end{bmatrix}$$

$$\text{Axis-Angle} \left\{ \begin{array}{l} \theta = 2 \cos^{-1}(s) \\ (x, y, z) = v / \|v\| \end{array} \right.$$

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## Rotations using Quaternions

Hence, a rotation of angle  $\theta$  around the axis  $(x, y, z)$  can be described using the following quaternion:

$$[\cos (\theta/2), \sin (\theta/2)(x, y, z)]$$

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# Quaternions

**Avoids gimbal lock**

**Easy to rotate a point**

**Easy to convert to a rotation matrix**

**Easy to concatenate – quaternion multiply**

**Easy to interpolate – interpolate 4-tuples**

**How about smoothly (in both space and time) interpolate?**



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## Viewing in OpenGL

As seen before, viewing and projections is achieved by transforming from the world coordinate system to the display coordinate system using matrix multiplication. Hence, OpenGL provides several functions for modifying matrices.

Since OpenGL is a state machine, it has two different **matrix stacks** that can change the view onto a scene (set of objects). The first one is the projection stack, while the other one is the modelview stack. The projection transformation is responsible for the projection just like a lens for a camera. This transformation also determines the type of projection (e.g. perspective or orthographic).

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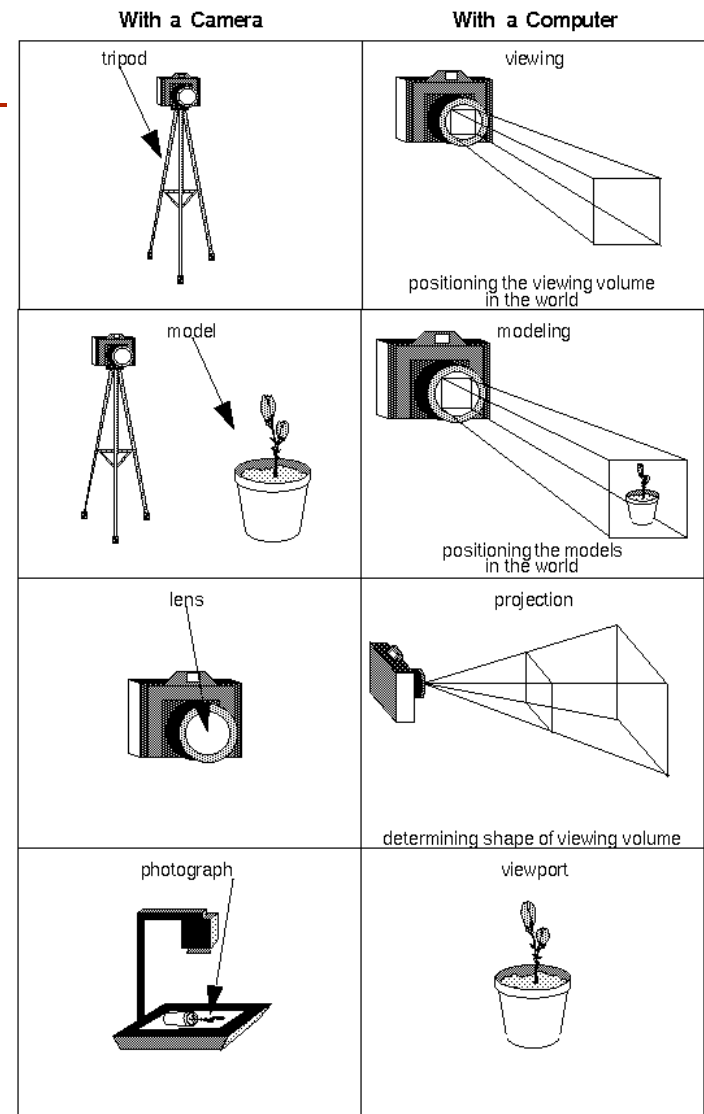
## Viewing in OpenGL (continued)

The modelview transformation combines the view transformation and the model transformation onto the same stack. The view transformation indicates the shape of the available screen (width, height, ratio). The model transformation facilitates the change of the entire scene as a whole before mapping it onto the projection plane. For example, the model transformation can be used to rotate the entire scene or zoom in or out.

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## Viewing in OpenGL (continued)

OpenGL mainly follows the analogy to a camera when creating an image on the display.



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### Viewing in OpenGL (continued)

To specify which stack you want to modify, OpenGL provides a method:

```
glMatrixMode (GLenum mode);
```

The mode passed onto this function as the only argument can be specified as `GL_MODELVIEW` or `GL_PROJECTION`. This then changes the state of OpenGL, so that all following matrix commands change that specific matrix only.

OpenGL uses homogenous coordinates to represent matrices, i.e. all matrices are 4x4 matrices.

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### Viewing in OpenGL (continued)

To initialize a matrix stack with the identity matrix, the following functions can be used:

```
glLoadIdentity ();
```

This then initializes the current matrix stack with the matrix

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Usually, this function is used before any other matrix modification, since it just overwrites the current matrix.

## Chapter 2

### Viewing in OpenGL (continued)

Alternatively, you initialize the matrix with a specific matrix that was calculated before. The following functions overwrites the current matrix stack with the given matrix:

```
float mf[16];
```

```
double md[16];
```

```
glLoadMatrixf (mf);
```

```
glLoadMatrixd (md);
```

The elements of the matrices are specified as shown on the right:

$$\begin{pmatrix} m_1 & m_5 & m_9 & m_{13} \\ m_2 & m_6 & m_{10} & m_{14} \\ m_3 & m_7 & m_{11} & m_{15} \\ m_4 & m_8 & m_{12} & m_{16} \end{pmatrix}$$

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### Viewing in OpenGL (continued)

To multiply a matrix onto the current one the following functions are useful:

```
glMatrixMultf (m) ;
```

```
glMatrixMultd (m) ;
```

The matrix is specified exactly the same as for the function `glLoadMatrix`.

Note that OpenGL multiplies the new matrix  $M$  to the current one  $C$  from the right, i.e. after applying the function `glMatrixMult` the matrix on the current stack will be  $C \cdot M$ .

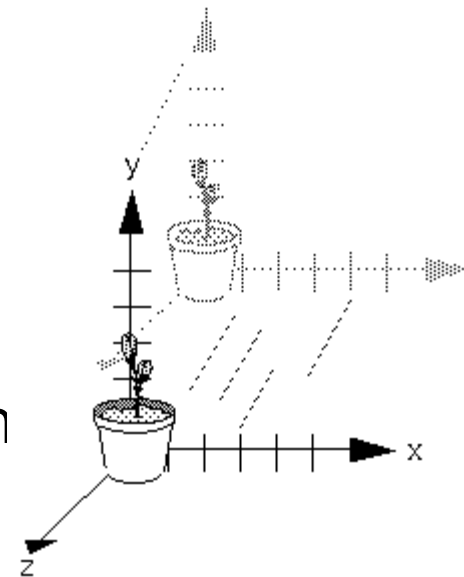
## Chapter 2

### Viewing in OpenGL (continued)

OpenGL also provides function for the basic types of transformation, i.e. translation, rotation, and scaling. The function

```
glTranslatef (GLfloat x,  
             GLfloat y,  
             GLfloat z);
```

multiplies a translation matrix onto the current matrix stack using the translation vector  $(x, y, z)$ .



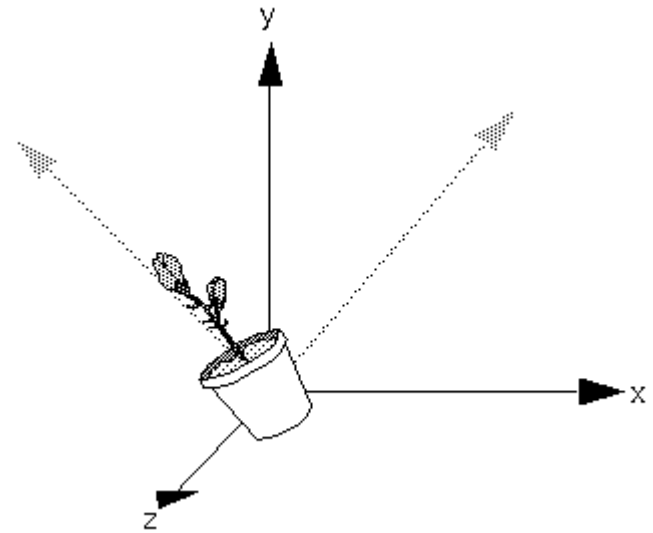


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## Viewing in OpenGL (continued)

The function

```
glRotatef (GLfloat angl  
          GLfloat x,  
          GLfloat y,  
          GLfloat z);
```



multiplies a rotational matrix onto the current matrix stack,

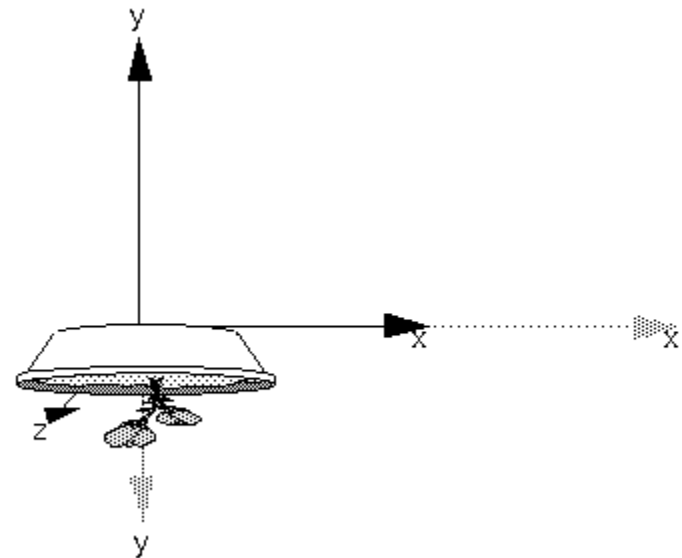
# Chapter 2

## Viewing in OpenGL (continued)

while the function

```
glScalef (GLfloat x,  
          GLfloat y,  
          GLfloat z);
```

appends a scaling matrix to the matrix stack.



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## Viewing in OpenGL (continued)

Note, that the corresponding functions that accept `double` values are also available. These use – according to the usual OpenGL convention – the suffix `d` instead of `f` to indicate the data type.

Using these matrix functions, both the projection as well as the modelview matrices can be specified.

OpenGL, however, provides some functions that are more convenient and intuitive.

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## Viewing in OpenGL (continued)

The function `gluLookAt` can be used to specify the camera location and orientation:

```
void gluLookAt (GLdouble eyex,  
                GLdouble eyey,  
                GLdouble eyez,  
                GLdouble centerx,  
                GLdouble centery,  
                GLdouble centerz,  
                GLdouble upx,  
                GLdouble upy,  
                GLdouble upz) ;
```

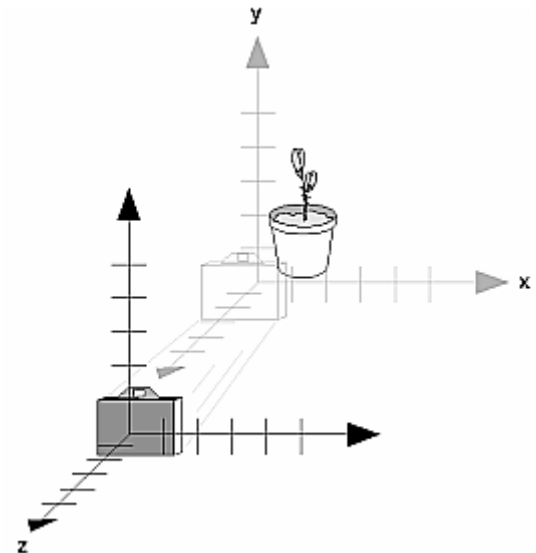
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## Viewing in OpenGL (continued)

The arguments for the function `gluLookAt` specify the view coordinate with respect to the camera. The location of the camera or eye defines the origin, while the center point determines the direction the camera is pointing at.

Hence, eye-center determines the z-axis. The vector up identifies the y-axis, while the x-axis is orthogonal to the y- and z-axis.

```
The default is gluLookAt (0.0,  
0.0, 0.0, 0.0, 0.0, -100.0,  
0.0, 1.0, 0.0);
```



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## Projections in OpenGL

OpenGL provides built-in functions for perspective and orthogonal projections. These can be applied directly after changing the state to make the projection matrix the current matrix stack and initializing:

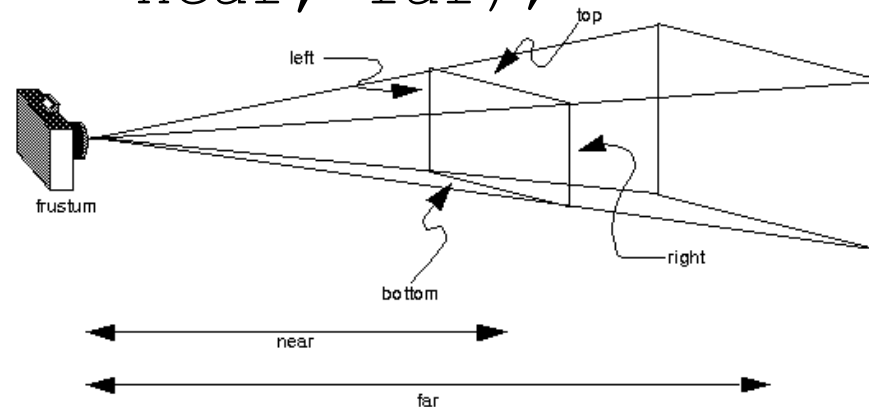
```
glMatrixMode (GL_PROJECTION);  
glLoadIdentity ();
```

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## Projections in OpenGL (continued)

Using the function `glFrustum`, the view frustum can be declared using a perspective projection (all arguments are of the type `GLdouble`):

```
glFrustum (left, right,  
          bottom, top,  
          near, far);
```

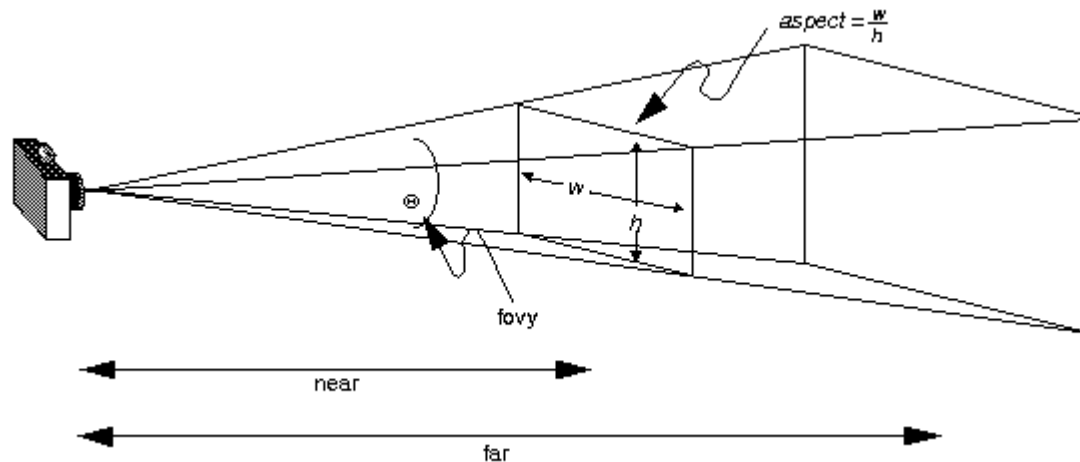


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## Projections in OpenGL (continued)

Sometimes it is more convenient to specify the view frustum following the camera analogy more closely (all arguments are of type `GLdouble`):

```
gluPerspective (fovy, aspect,  
               near, far);
```



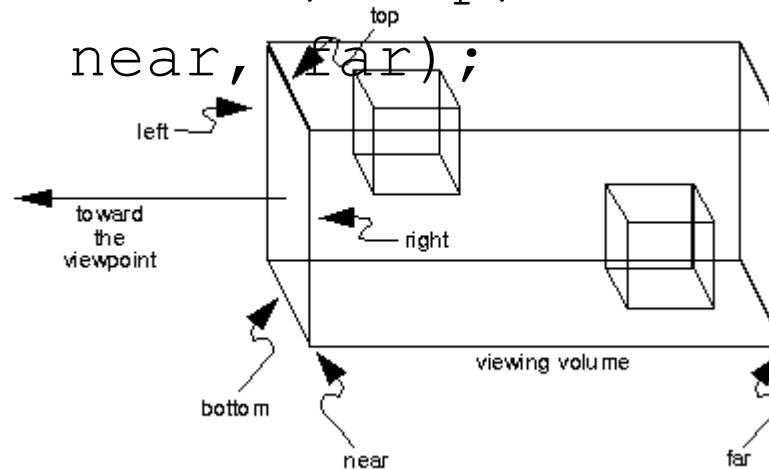


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## Projections in OpenGL (continued)

If an orthogonal projection is desired, the following method can be used (all arguments are of type `GLdouble`):

```
glOrtho (left, right,
         bottom, top,
         near, far);
```



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### Projections in OpenGL (continued)

For a 2-D projection it does not make any difference if a perspective or orthogonal projection is used since the scene with all the objects does not have any depth.

Hence, there is only one function provided by OpenGL for a 2-D projection (all arguments are of type `GLdouble`):

```
glOrtho2D (left, right,  
           bottom, top);
```

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## Viewing in OpenGL

The last step of the process of creating an image on a computer display is the viewport transformation. Recalling the camera analogy, the viewport transformation corresponds to the stage where the size of the developed photography is chosen. The viewport is measured in window coordinates. By default, OpenGL uses the entire window provided. The following functions allows you to reduce the size of the image (all arguments are of type `GLint`):

```
void glViewport (x, y, width, height);
```

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## Viewing in OpenGL

The aspect ratio of a viewport should generally equal the aspect ratio of the viewing volume. If the two ratios are different, the projected image will be distorted as it's mapped to the viewport. Note that subsequent changes to the size of the window don't explicitly affect the viewport. Your application should detect window resize events and modify the viewport and projection appropriately.

